**APPENDIX NO.01**

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| **SOCIALIST REPUBLIC OF VIETNAM  Independence - Freedom - Happiness**  **---------------** |

**CURRICULUM VITAE (1)**

|  |  |  |
| --- | --- | --- |
| **1. Personal information** |  | Passport photo (4x6cm) |
| - First and last name of birth |  |
| - Common name |  |
| - Aliases |  |
| - Date of birth |  |

- Place of birth

- Nationality/nationalities (if any)

- Permanent residence address according to household registration, address according to people's identity card/citizen identity card and current residential address

- Identity card/citizen identity card number (or passport/other equivalent legal personal identification paper); Date and place of issue of identity card/citizen identity card (or passport/other equivalent legal personal identification document)

- Name and address of the legal entity representing, percentage of contributed capital represented (in case of representative of the contributed capital of a shareholder or a contributing member which is a legal entity or appointed to represent the State's capital contribution at a credit institution)

**2. Educational qualification**

- General education

- Study title, academic degree (specify name, address of school; major of study; duration of study; degrees (list all qualifications)

**3. Working process:**

- Occupation, organization, position from the age of 18 to present (2)

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| No. | Time (from month/year to month/year) (3) | Work organization | Position (4) | The field of operation of the enterprise and the assigned tasks | Notes (5) |
|  |  |  |  |  |  |

- Commendation, discipline, responsibility according to the inspection conclusion leading to the credit institution, foreign bank branch being sanctioned for administrative violations (6)

**4. Capacity for civil acts** (7)

4a. Information on prohibition from holding certain posts, establishment or management of enterprises or cooperatives (for cases where a judicial record card or equivalent document issued by a foreign competent authority does not have such information):

**5. Commitment before the law**

I hereby undertake to:

* Meeting the criteria and conditions to hold the title ... at credit institutions, foreign bank branches.
* Do not violate the provisions of the law in the field of money and banking.
* Notify credit institutions, foreign bank branches of any changes related to the content of this declaration that may arise while the State Bank is considering the request of ... (name credit institutions, foreign bank branches).
* The personal information I provide to credit institutions, foreign bank branches to submit to the State Bank for consideration and approval of the expected personnel is true. I take responsibility before the law for the completeness, truthfulness and accuracy of the above-declared information.

*...., day ... month ... year ......*

**Signature and full name of the declarant**

*( Authentication of the declarant's signature)*

**Notes : \_**

*1. Declarants must fully declare information as required and take responsibility before the law and the credit institution, foreign bank branch for the completeness , accuracy and truthfulness of the information. records, if not, clearly state none.*

*2. Declarants must fully declare their jobs, organizations, and positions they have and are currently holding .*

*3. Must ensure continuity in terms of time.*

*4. Specify if the person is a manager or an executive person in accordance with the law and the charter of the credit institution or enterprise.*

*5. Note if the organization falls into the following cases:*

*a) A subsidiary of a credit institution;*

*b) Enterprise in which the State owns 50% or more of the charter capital;*

*c) The organization specified at Point e, Clause 2, Article 33 of the Law on Credit Institutions;*

*d) Organization specified at Points c, d, Clause 1, Article 33 of the Law on Credit Institutions;*

*6. Specify if the person falls into the case specified at Points dd, h, Clause 1, Article 33 of the Law on Credit Institutions*

*7. Specify: full/ limited/ lost civil act capacity.*

***(In addition to the above basic contents, the declarant can add other contents if necessary)***

**APPENDIX NO. 02**

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| **SOCIALIST REPUBLIC OF VIETNAM  Independence - Freedom - Happiness**  **---------------** |

**Declaration of related person**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **No** | **Declarant and the declarant's "related person"** | **Relationship with the declarant** | **Number/date of identity card or citizen identitity card/Passport** | **Position at the proposed credit institution** | **Position at the subsidiary company of the proposed credit institution** | **Share ownership ratio or representative capital contribution ratio at the proposed credit institution ( % )** | **...** |
| (1 ) | (2) | (3) | (4) | (5) | (6) | (7) | *…* |
| 1 | Nguyen Van A | Declarant |  |  |  |  |  |
| 2 | Nguyen Thi B | Wife |  |  |  |  |  |
| … |  |  |  |  |  |  |  |

I undertake that the information provided above is true. I take responsibility before the law for the completeness, truthfulness and accuracy of the above-declared information.

|  |  |
| --- | --- |
|  | *....., date ... month ... year* **Declarant**  *(Signature, full name)*    (Authentication of the declarant's signature) |

**Note:**

*- For the declaration of related persons in column (3): Based on the actual relationship of the related person in column (2) in the specific case specified in Clause 28, Article 4, Clause 2 Article 62 of the Law on Credit Institutions and related regulations to fill in this column.*

***(In addition to the above minimum contents, the declarant can add other contents if necessary)***