



**SAIGON – HANOI  
COMMERCIAL JS BANK**

**No.: 682/CV-SHB**

**SOCIALIST REPUBLIC OF VIETNAM  
Independence - Freedom - Happiness**

*Hanoi , February 29<sup>th</sup>, 2023*

**INFORMATION DISCLOSURE ON ELECTRONIC PORTAL  
OF THE STATE SECURITIES COMMISSION OF VIETNAM AND  
HCMC STOCK EXCHANGE**

**Kind attention to: - The State Securities Commission of Vietnam;  
- Ho Chi Minh City Stock Exchange,**

1. Name of the Company: Saigon – Hanoi Commercial Joint Stock Bank
2. Ticker symbol: SHB
3. Head office address: No. 77, Tran Hung Dao street, Tran Hung Dao ward, Hoan Kiem district, Hanoi city
4. Phone number: 024.39423388 Fax: 024.39410844
5. Person making the information disclosure: Ms. Ngo Thu Ha – Chief Executive Officer
6. Type of information disclosed: periodic ad-hoc 24h upon request

Information disclosure as follows: Saigon – Hanoi Commercial Joint Stock Bank (SHB) announced to have received Official letter No. 1188/UBCK-QLCB dated February 27<sup>th</sup>, 2024 of the State Securities Commission confirming the result of SHB’s share issuance following the Employee’s Stock Option Plan. Accordingly, the Bank has allocated 43,510,429 shares to the employees.

This information was posted on SHB public website on February 29<sup>th</sup>, 2024 at the following link: <https://www.shb.com.vn/category/nha-dau-tu/cong-bo-thong-tin/>.

We hereby certify that the above information is accurate and take full responsibility before the law for the information disclosed.

Best regards,

**Recipients:**

- As stated above;
- Archived at Admin dpt., the BoD’s office

**PP SAIGON – HANOI COMMERCIAL JS BANK**  
*(signed and stamped)*

**CHIEF EXECUTIVE OFFICER**  
**Ngô Thu Hà**

**MINISTRY OF FINANCE STATE  
SECURITIES COMMISSION**

**SOCIALIST REPUBLIC OF VIETNAM  
Independence - Freedom - Happiness**

No.: 1188/UBCK-QLCB

*Hanoi, February 27<sup>th</sup>, 2024*

Re: Report document on the result of  
SHB's share issuance following ESOP

Kind attention to: Saigon – Hanoi Commercial Joint Stock Bank

The State Securities Commission (SSC) received report document on the result of share issuance following the Employee's Stock Option Plan No. 576/CV-SHB dated February 21<sup>st</sup>, 2024 of Saigon – Hanoi Commercial Joint Stock Bank (ticker symbol: SHB, listed on Ho Chi Minh City Stock Exchange). Accordingly, the Bank has allocated 43,510,429 shares to the employees.

The SSC kindly request SHB to contact Ho Chi Minh City Stock Exchange and Vietnam Securities Depository and Clearing Corporation to proceed with registration, depository and additional share listing procedures as regulated.

Kindly notice for your acknowledgement and action./.

**Recipients:**

- As stated above;
- HSX;
- VSDC;
- GSDC;
- Archived: Admin, QLCB

**ON BEHALF OF THE CHAIRMAN  
DIRECTOR OF SECURITIES OFFERING  
& MANAGEMENT DEPARTMENT**

*(signed and stamped)*

**Bui Hoang Hai**