

No.: 3335/CV-SHB

Hanoi , July 09th, 2024

**INFORMATION DISCLOSURE ON ELECTRONIC PORTAL
OF THE STATE SECURITIES COMMISSION OF VIETNAM AND
HCMC STOCK EXCHANGE**

**Kind attention to: - The State Securities Commission of Vietnam;
- Ho Chi Minh City Stock Exchange,**

1. Name of the Company: Saigon – Hanoi Commercial Joint Stock Bank
2. Ticker code: SHB
3. Head office address: No. 77, Tran Hung Dao street, Tran Hung Dao ward, Hoan Kiem district, Hanoi city
4. Phone number: 024.39423388 Fax: 024.39410844
5. Person making the information disclosure: Ms. Ngo Thu Ha – Chief Executive Officer
6. Type of information disclosed: ☐ periodic ☐ ad-hoc ☒ 24h ☐ upon request

Information disclosure as follows: Saigon – Hanoi Commercial Joint Stock Bank (SHB) disclosed Decision on changing the share listing registration No. 410/QD-SGDHCM dated July 5th, 2025 issued by Ho Chi Minh City Stock Exchange (change in number shares to be listed: 43,510,429 shares at Ho Chi Minh City Stock Exchange).

This information was posted on SHB public website on July 09th, 2024 at the following link: <https://www.shb.com.vn/category/nha-dau-tu/cong-bo-thong-tin/>.

We hereby certify that the above information is accurate and take full responsibility before the law for the information disclosed.

Best regards,

Recipients:

- As stated above;
- Archived at Admin dpt., the BoD's office

PP. SAIGON – HANOI COMMERCIAL JS BANK
(signed and stamped)

CHIEF EXECUTIVE OFFICER
Ngo Thu Ha

DECISION

Re: Change of share listing registration

GENERAL DIRECTOR OF HO CHI MINH CITY STOCK EXCHANGE

Pursuant to Securities Law dated November 26th, 2019;

Pursuant to Decree No. 155/2020/ND-CP dated December 31st, 2020 of the Government detailing the implementation of a number of articles of Securities Law dated November 26th, 2019;

Pursuant to Decision No. 02/QD-HDTV dated June 30th, 2021 of the Board of Council of Vietnam Stock Exchange on establishing Ho Chi Minh City Stock Exchange;

Pursuant to Decision No. 07/QD-HDTV dated July 09th, 2021 of the Board of Council of Vietnam Stock Exchange on promulgating the Charter on organization and operation of Ho Chi Minh City Stock Exchange;

Pursuant to Decision No. 17/QD-HDTV dated March 31st, 2022 of the Board of Council of Vietnam Stock Exchange on promulgating Regulation on share listing and trading;

According to the proposal documents of Saigon – Hanoi Commercial Joint Stock Bank on changing of share listing registration;

In consideration of the proposal of Director of Listing Management Department,

DECIDES:

Article 1. Approve the change of share listing registration of Saigon – Hanoi Commercial Joint Stock Bank as follows:

- Type of stock: Common stock
- Ticker code: SHB
- Face value: VND 10,000
- Number of shares before the change: **3,619,398,113 shares** (three billion six hundred nineteen million three hundred ninety eight thousand one hundred and thirteen shares)
- Number of shares to be listed: **43,510,429 shares** (forty three million five hundred and ten thousand four hundred and twenty nine shares)
- Value of shares to be listed (according to face value): **VND 435,104,290,000** (four hundred thirty five billion one hundred and four million two hundred and ninety thousand dong)
- Number of shares after the change: **3,662,908,542 shares** (three billion six hundred sixty two million nine hundred and eighth thousand five hundred and fourty two shares)

- Value of shares after the change (according to face value): **VND 36,629,085,420,000** (*thirty six thousand six hundred twenty nine billion eighty five million four hundred and twenty thousand dong*)
- Effective date: July 9th, 2024
- Reason for share listing change: issuance of shares in accordance with the Employee's Stock Option Plan

Article 2. This Decision takes effect from the signing date.

Article 3. Director of Transaction System Department, Director of Market Information Department, Director of Listing Management Department and Saigon – Hanoi Commercial Joint Stock Bank are responsible for the implementation of this Decision.

Recipients:

- As in Article 3;
- VSDC;
- Archived: Admin, Listing.

**ON BEHALF OF GENERAL DIRECTOR
DEPUTY DIRECTOR IN CHARGE**

(signed and stamped)

Tran Anh Dao